

APR 23 2004

Attorney's Docket No.: 37481-3323B  
(17100-025002)



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Kenneth David Becker et al.      Art Unit : 1646  
Serial No. : 10/608,397      Examiner : Unknown  
Filed : June 26, 2003      Conf. No. : 6501  
Cust. No. : 20985  
Title : SINGLE NUCLEOTIDE POLYMORPHISMS AND MUTATIONS OF ALPHA-2  
MACROGLOBULIN

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**TRANSMITTAL LETTER**

Transmitted herewith are a Statement for Supplemental Information Disclosure Statement in Accordance with 37 C.F.R. §1.97 (e) (1 page), Supplemental Information Disclosure Statement in Accordance with 37 C.F.R. §§1.97-1.98 (3 pages), copy of an International Search Report (10 pages), Form PTO-1449 (1 page), and a return postcard for filing in connection with the above-captioned application.

[X] The Commissioner is hereby authorized to charge any fees that may be due under 37 C.F.R. §§ 1.16-1.17 in connection with this paper or with this application during its entire pendency to Deposit Account No. 06-1050. A duplicate of this sheet is enclosed.

Respectfully submitted,

\_\_\_\_\_  
Stephanie L. Seidman  
Reg. No. 33,779

**Address all correspondence to:**

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**CERTIFICATE OF MAILING BY EXPRESS MAIL**

I hereby certify that this paper and the attached papers are being deposited with the United States Postal Services as "Express Mail" Label No. EV 471528383 US in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

4/21/04

\_\_\_\_\_  
Stephanie L. Seidman



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STATEMENT FOR SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT IN  
ACCORDANCE WITH 37 C.F.R. §1.97 (e)

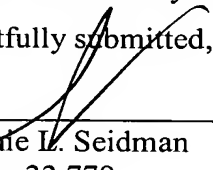
Dear Sir:

1) This Statement is being made for the Supplemental Information Disclosure Statement accompanying this Statement.

2) I, Stephanie Seidman, certify that the two items contained in the accompanying Supplemental Information Disclosure Statement were cited in a communication from the International Searching Authority dated March 5, 2004 in connection with PCT International Patent Application No. PCT/US02/36095, which claims priority to U.S. Provisional Application Serial No. 60/337,434. Thus, each item of information was cited in a communication from a foreign patent office in a corresponding or related foreign application not more than three months prior to the filing of the attached Supplemental Information Disclosure Statement.

3) The person making this Statement is an attorney of record in the above-captioned application and signs below on the basis of information in the attorney's file.

Respectfully submitted,

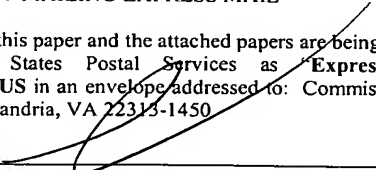
  
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SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT IN ACCORDANCE WITH  
37 C.F.R. §§1.97-1.98

Dear Sir:

This Supplemental Information Disclosure Statement is filed pursuant to 37 C.F.R. §1.97(c) and includes a certification in accordance with 37 C.F.R. §1.97(e). The certification applies to the two references listed on Form PTO-1449 (1 page), provided herewith. Since this Supplemental Information Disclosure Statement is filed prior to the receipt of the first Office Action no payment is due. If it is determined that a fee is due, the Commissioner is authorized to charge the unpaid amount to Deposit Account No. 06-1050.

In accordance with the duty of disclosure imposed by 37 C.F.R. §1.56 to inform the Patent Office of all references known by Applicant or Applicant's representative that may be material to the examination of the subject application, Applicant's representative hereby provides this Supplemental Information Disclosure Statement that is prepared in accordance with 37 C.F.R. §§1.97-1.98. A copy of a communication from a foreign patent office in a counterpart application is also enclosed. Under 35 USC §120, this application relies on the earlier filing date of application serial number 10/292,081, filed on November 8, 2002. The following references were submitted to and/or cited by the Office in the prior application and, therefore, are not provided in this application:

CERTIFICATE OF MAILING BY EXPRESS MAIL  
CERTIFICATION OF MAILING EXPRESS MAIL

I hereby certify that this paper and the attached papers are being deposited with the United States Postal Services as "Express Mail" Label No. EV 471528583 US in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

4/21/04

Stephanie L. Seidman

Applicant : Kenneth David Becker et al.  
Serial No. : 10/608,397  
Filed : June 26, 2003  
Cust. No. : 20985  
Page : 2 of 3

Attorney's Docket No.: 37481-3323B  
(17100-025002)

The two references listed on Form PTO-1449 were cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the attached Supplemental Information Disclosure Statement. Form PTO-1449 (1 page), an international search report are provided herewith and are in the English language. No item of information contained in this Form PTO-1449 was cited in a communication from a foreign patent office in a counterpart foreign application, nor to the knowledge of the applicant's representative, after making reasonable inquiry, was any item of information contained in this Form PTO-1449 known to any individual designated in §1.56(c) more than three months prior to the filing of this statement.

Applicant also makes known to the Examiner the following U.S. and International applications, which are commonly owned and/or have one or more inventors in common:

U.S.S.N	Filing Date	Publ. No.	Publ. Date	Docket No.
09/814,17901	3/22/01	2002/0015941	02/07/02	3301
10/342,844	01/13/03	2004-0009537	01/15/04	3307
10/282,174	10/25/02	2003-0224380	12/04/03	3308
10/600,009	06/18/03			3308B
10/281,456	10/25/02	2003-0170678	09/11/03	3312
10/292,081	11/08/02	2003-0162202	8/28/03	3323
60/470,884	05/14/03			P3327
60/489,186	07/21/03			P3329
60/517,752	11/05/03			P3330

Intl App. No.	Filing Date	Publ. No.	Publ. Date	Docket No.
PCT/US00/20138	07/25/00	WO 01/71351	09/27/01	3301PC
PCT/US03/26409	08/20/03			3307PC
PCT/US03/26409	08/20/03			3307CPC
PCT/US02/34679	10/25/02	WO 03/054143	07/03/03	3308PC
PCT/US03/26173	08/20/03			3316PC
Unassigned	03/03/04			3322PC
PCT/US02/36095	11/08/02	WO 03/051174	06/26/03	3323PC

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Page : 3 of 3

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Hence, in accordance with the requirements of 37 C.F.R. §1.98, as amended effective March 16, 1992, no further explanation of the listed items is necessary. Although the document is made known to the Patent and Trademark Office in compliance with Applicant's duty of disclosure, such disclosure is not to be construed as an admission by Applicant or Applicant's representative that any of the references is effective as prior art against the subject application.

In accordance with 37 C.F.R. §1.97(h), the filing of this Supplemental Information Disclosure Statement shall not be construed to mean that no other material information as defined in 37 C.F.R. §1.56(b) exists.

Applicant respectfully requests that the Examiner review the foregoing references and that they be made of record in the file history of the above-captioned application.

Respectfully submitted,

---

Stephanie L. Seidman  
Reg. No. 33,779

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E-mail: seidman@fr.com

Substitute Form PTO-1449 (Modified)  <b>Information Disclosure Statement</b> <b>by Applicant</b> (Use several sheets if necessary)  (37 CFR §1.98(b))	U.S. Department of Commerce Patent and Trademark Office	Attorney's Docket No. 37481-3323B 17100-025002	Application No. 10/608,397
	Applicant Kenneth David Becker et al.		
	Filing Date June 26, 2003	Group Art Unit 1646	

**U.S. Patent Documents**

Examiner Initial	Desig. ID	Document Number	Publication Date	Patentee	Class	Subclass	Filing Date If Appropriate

**Foreign Patent Documents or Published Foreign Patent Applications**

Examiner Initial	Desig. ID	Document Number	Publication Date	Country or Patent Office	Class	Subclass	Translation	
							Yes	No

**Other Documents (include Author, Title, Date, and Place of Publication)**

Examiner Initial	Desig. ID	Document
	AA	Poller <i>et al.</i> "Sequence polymorphism in the human alpha-2-macroglobulin (A2M) gene." <i>Nucleic Acids Research</i> 19:198 (1991)
	AB	Justus <i>et al.</i> "Quantificatio of free alpha 2-macroglobulin and alpha 2-macroglobulin protease complexes by a novel ELISA system based on streptococcal alpha 2 macroglobulin receptors". <i>Journal of Immunological Methods.</i> 126:103-108 (1990)

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Examiner Signature	Date Considered
EXAMINER: Initials citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	